RECEIVED

JUN 07 2002

Technology Center 2600

PATENT 2786-203P

IN THE U.S. PATENT AND TRADEMARK OFFICE

Applicant:

Zeev Smilansky

Conf.:

5637

Appl. No.:

10/056,483

Group:

2621

Filed:

January 28, 2002

Examiner: UNKNOWN

For:

METHOD FOR COMPARING SIGNAL ARRAYS IN

DIGITAL IMAGES

LETTER

Assistant Commissioner for Patents Washington, DC 20231

June 4, 2002

Sir:

Under the provisions of 35 U.S.C. § 119 and 37 C.F.R. § 1.55(a), the applicant(s) hereby claim(s) the right of priority based on the following application(s):

Country

Application No.

Filed

ISRAEL

141151

January 29, 2001

A certified copy of the above-noted application(s) is(are) attached hereto.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

Charles Gorenstein,

P.O. Box 747

Falls Church, VA 22040-0747

(703) 205-8000

Attachment

CG/RWD/sjl

2786-203P





מדינת ישראל STATE OF ISRAEL 2786-203P 101056,483 1-24-02 Zeer Smilansky Birch, slewart, Mulasch & Birch 703-205,8000

istry of Justice atent Office

משרד המשפטים לשכת הפטנטים

RECEIVED

JUN 07 2002

Technology Center 2600

to certify that annexed

a true copy of the

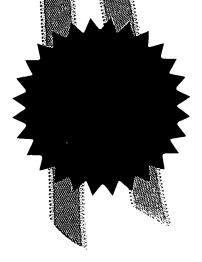
as originally

with the patent

of which

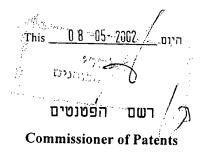
specified on the

זאת לתעודה כי רצופים בזה העתקים נכונים של המסמכים שהופקדו לכתחילה עם הבקשה לפטנט לפי הפרטים הרשומים בעמוד הראשון של הנספת.



p

fi



CERTIFIED COPY OF PRIORITY DOCUMENT

נתאשר Certified

Best Available Copy



לשימוש הלשכה

For Office Use מספר: Number :תאריך Date : הוקדם/נדחה Ante/Post-dated

בקשה לפטנט

Application For Patent

אני, (שם המבקש, מענו ולגבי גוף מאוגדת מקום התאגדותו)

I, (Name and address of applicant, and in case of body corporate-place of incorporation)

קומפיוגן בע"מ, חברה ישראלית מרחוב פנחס רוזן 72, תל אביב 69512, ישראל

Compugen Ltd., Israeli Company of 72 Pinchas Rosen Street, Tel Aviv 69512, ISRAEL

ששמה הוא	Right o	of Law			הדין	בעל אמצאה מכח	
of an invention	n the title of	which is				Owner, by virtue of	
	ניות	זותות בתמונות ספרח	ל <i>ה</i> שוואת מערכי א	שיטה		(בעברית) (Hebrew)	
						(באנגלית)	
	Metho	od for comparing sign	nal arrays in digita	al images		(English)	
					121120 2251		
		ranted to me in respect thereof. * בקשת פטנט מוסף	- 	מבקש בזאת כי ינתן לי עליה פטנט דרישת דין קדימהי			
בקשת חלוקה * Application of Division		Appl. for Patent of Addition		Priority Clain			
את פטנט		* לבקשה/לפטנט	מספר/סימן	תאריך		מדינת האיגוד	
from appl	•	to Patent/Appl.	Number/Mark	Date	Co	onvention Country	
No.	מס׳	No	מכ				
Dated	מיום	Dated	מי				
P.o.A.: (General	פוי כח: כללי	••				
filed in case		8131 ש בעניין	הוג				
	•	וען למסירת מסמכים בישראי Address for Service in Isra					
REINHOLD C	OHN AND	PARTNERS					
Patent Attorne P.O.B. 4060,		C. 125624					
For the Applic		ימת המבקש Signature of Applica PARTNERS	ł .	שנת <u>Janua</u> Year		of 28 End This	
$By:-\mathcal{R}$,),	· · · · · · · · · · · · · · · · · · ·			, i	לשימוש הלשכו	
	en An	unin				For Office Use	

טופס זה כשהוא מוטבע בחותם לשכת הפטנטים ומושלם במספר ובתאריך ההגשה, הנו אישור להגשת הבקשה שפרטיה רשומים לעיל.

This form, impressed with the Seal of the Patent Office and indicating the number and date of filing, certifies the filing of the application the particulars of which are set out above.
* מחק את המיותר

Delete whatever is inapplicable

שיטה להשוואת מערכי אותות בתמונות ספרתיות

Method for comparing signal arrays in digital images

Compugen Ltd.

קומפיוגן בע"מ

C. 125624

METHOD FOR COMPARING SIGNAL ARRAYS IN DIGITAL IMAGES.

FIELD OF THE INVENTION

The invention relates to methods of comparing the intensity of two signal arrays in digital images, for example digital images of a spot in a one- or two-dimensional electrophoresis pattern or a DNA chip.

BACKGROUND OF THE INVENTION

A digital image may be considered to be an array of signals, where each pixel in the image produces a visible signal of a particular intensity. It is often of interest to compare two such signal arrays. For example, two protein mixtures can be separated by one of various separation techniques to produce two one- or two-dimensional separation patterns. A digital image of a spot in each pattern, corresponding to the same protein could be compared in order to compare the amount of the protein present in each mixture. As another example, a DNA chip having attached to it various oligonucleotide targets is incubated in the presence of probe oligonucleotides from two sources. The two probe species are differently labeled, so that each probe species produces a visible signal that is distinguishable from that of the other species. For example, one probe species may be labeled with a fluorescent dye that produces a red signal while the other probe species is labeled with a fluorescent dye that produces a green signal. A digital image of the red signal could then be compared with a digital image of the green signal in order to compare the amount of oligonucleotides binding to the chip in the two sources.

One well-known method for comparing the signal arrays in two digital images involves calculating the total intensity in each image and then calculating

irst _F

5

by m_{ϵ} $\Gamma(x_i)$

e co

alysis

are.

may

ial se

discl

with ,

nveni

rs (I(:

inti

points

ed by

sion

∍ne

ed ot

r

Another method is to determine the maximum ratio of the two maximal intensities.

method for comparing two visual signal ple, a digital image of a stained spot in a tern such as produced by electrophoresis. mage of a region of a DNA chip that has lat produce a visible signal. The two arrays eparated from one another or superimposed

r. The two arrays may be, for example, a single JA chip that was simultaneously incubated with different sources, where the probes from each r producing a distinct visible signal. For example, ay be labeled with a fluorescent label producing a m the other source labeled with a label producing a red and green signal arrays in the digital image are other, and are to be compared by the method of the

/s are superimposed upon one another, each pixel x_i in escribed by an ordered pair of numbers $(I_1(x_i), I_2(x_i))$ ity of the signal of the pixel x_i in the first array, and $I_2(x_i)$ gnal of the pixel x_i in the second array. A linear regression he points $(I_1(x_i), I_2(x_i))$. Within the context of the present inear regression" is used to include any method in which a a set of points, for example, a least squares fit of the points in the art. This also includes methods involving a filtering the are deleted from the set of points prior to determining the

-3_

invention, the two arrays are compared by ed by the linear regression analysis. invention, two signal arrays are compared another. The two patterns may be, for erent one- or two- dimensional separation oresis. The two arrays are first put into he two patterns is described by means of the first pattern to a pixel $T(x_i)$ in the istration transformations are disclosed, 3562 Two arrays in register with each red in accordance with the invention ', an ordered pair of numbers $(I(x_i),$ ty of the signal of a pixel x_i in the (el $T(x_i)$ in the second pattern that n analysis is applied to the points the two arrays are compared by luced by the linear regression

nination of differential gene nal arrays to be compared gene. Typically, but not he gene expression under for the determination of of the signal arrays to be resent in a sample.

may be carried out in way of non-limiting which

linear fit. In accordance with the invention, the two arrays are compared by means of the slope of the line produced by the linear regression analysis.

In another embodiment of the invention, two signal arrays are compared that are not superimposed upon one another. The two patterns may be, for example, digital images of spots in different one- or two- dimensional separation patterns such as produced by electrophoresis. The two arrays are first put into register with each other. Registration of the two patterns is described by means of a transformation T that maps a pixel x_i in the first pattern to a pixel $T(x_i)$ in the second pattern. Methods for obtaining registration transformations are disclosed, for example, in Israel Patent Application 133562 Two arrays in register with each other under the transformation T are compared in accordance with the invention as follows. For each pixel x_i in the first array, an ordered pair of numbers ($I(x_i)$, $I(T(x_i))$ is generated where $I(x_i)$ is the intensity of the signal of a pixel x_i in the first array and $I(T(x_i))$ is the intensity of the pixel $T(x_i)$ in the second pattern that is in register with the pixel x_i . A linear regression analysis is applied to the points $(I(x_i), I(T(x_i)))$. In accordance with the invention, the two arrays are compared by means of the slope of the regression line produced by the linear regression analysis.

The invention may be used for the determination of differential gene expression. In this application, each of the signal arrays to be compared represents the level of expression of a particular gene. Typically, but not necessarily, the two arrays represent the level of the gene expression under different conditions. The invention may also be used for the determination of differential protein expression. In this application, each of the signal arrays to be compared represents the amount of a particular protein present in a sample.

BRIEF DESCRIPTION OF THE DRAWINGS

In order to understand the invention and to see how it may be carried out in practice, a preferred embodiment will now be described, by way of non-limiting example only, with reference to the accompanying drawings, in which:

Fig. 1 is a plot of the ordered pairs $(I_1(x), I_2(x_i))$ where $I_1(x_i)$ is the intensity of a signal produced by a first DNA probe species in the pixel x_i , $I_2(x_i)$ is the intensity of a signal produced by a second DNA probe species in the pixel x_i , the DNA probes being bound to DNA targets on a DNA chip;

- Fig. 2 shows two two-dimensional separation patterns;
- Fig. 3 shows a enlargement of first and second spots from the first and second separation patterns, respectively, of Fig. 2, and

Fig. 4 shows a plot of the points $(I(x_i), T(I(x_i)))$, where $I(x_i)$ is in the intensity of a pixel x_i in the first spot of Fig. 3 and $I(T(x_i))$ is the intensity of a pixel $T(x_i)$ in the second spot that is in register with the first spot under a transformation T.

EXAMPLES

5

10

Example 1 Two superimposed spots

A DNA chip having DNA targets bound on it was incubated in the presence of a sample containing first and second DNA probe species, where each probe species was labeled with a label producing a distinct visible signal. Each of the first and second probe species bound to a particular target on the chip thus produces a distinct signal array in a region of the chip where the target is located. For a pixel x_i , the intensity of the two signal arrays is represented by an ordered pair of numbers $(I_1(x_i), I_2(x_i))$ where $I_1(x_i)$ is the intensity of the signal produced by the first probe species in the pixel x_i and $I_2(x_i)$ is the intensity of the signal produced by the second probe species in the pixel x_i . Fig. 1 shows a plot of the ordered pairs $(I_1(x_i), I_2(x_i))$. A linear regression analysis was applied to the points $(I_1(x_i), I_2(x_i))$ that produced the best linear fit 200 to the points. The slope of the line 200 was found to be 1.48, indicating that a probes of the second species binding to a particular target on the chip were present in the sample at an abundance of about 1.48 times that of probes of the first species binding to the same target. The two spots are compared by means of the slope of the line 200.

Example 2 Separated arrays

Two samples containing proteins are separated to produce a pair of two-dimensional separation patterns. Fig. 2 shows a representation of two

two-dimensional separations patterns 305 and 310. A spot 315 in the first pattern 305 is to be compared with a spot 320 in the second pattern 310. Fig. 3 shows enlargements of the spots 315 and 320, divided into pixels. The pixels in each spot form a signal array. Each pixel in the spot 315, for example, the pixel 325 has an associated intensity $I(x_i)$. Similarly, each pixel y_i in the spot 320, for example the spot 330, has an associated intensity $I(y_i)$. A mapping T is found that maps each of a plurality of pixels in the spot 315 to a different pixel in the spot 320. For example, the pixel 325 may be mapped into the pixel 330.

If the two spots 315 and 320 consist of the same number of pixels, then the mapping T may be obtained by first putting the entire patterns 305 and 310 into register with each other. The patterns 305 and 310 are put in register with one another by means of a transformation T that maps each pixel x_i in the pattern 305, for example the pixel 330 to a pixel $T(x_i)$ in the pattern 310. A transformation that puts the two patterns into register with each other may be found, for example, as disclosed in Israel Patent Application No. 133562. The restriction of the transformation T to the spot 315 maps pixels in the spot 315 to pixels in the spot 320.

Another method that may be used to put the spots 315 and 320 into register with each other when the two spots consist of about the same number of pixels is to arrange the pixels in each spot in order of decreasing intensity. The mapping T is then defined that maps the nth pixel in the arrangement of the pixels of the spot 315 with the nth spot in the arrangement of the pixels of the spot 320.

When the two spots 315 and 320 consist of about the same number of pixels, and the mapping T has been defined, pairs of numbers are $(I(x_i), I(T(x_i)))$ formed where $I(x_i)$ is in the intensity of a pixel x_i in the pattern 105 and $I(T(x_i))$ is the intensity of the pixel $T(x_i)$ in the pattern 115 that is in register with x_i under the transformation T. Fig. 4 shows a plot of the points $(I(x_i),T(I(x_i)))$. A linear regression analysis is applied to the points that produces the best linear fit 400 to the points. The slope of the linear fit 400 is found to be 4.8 indicating that the spot

320 contains about 4.8 as much protein as is present in the spot 315. The two spots are compared by means of the slope of the line 400.

If, say, the spot 315 consists of substantially more pixels than the spot 320, the following method may be used to put a plurality of the pixels of the spot 315 into register with pixels in the spot 320. The pixels in each spot are arranged in order of decreasing intensity. A predetermined fraction r₁ of the pixels in the spot 315 are then deleted from the arrangement of the pixels of that spot, to produce a provisional arrangement of the pixels of that spot. A predetermined fraction r₂ of the pixels in the spot 320 are then deleted from the arrangement of the pixels of that spot, to produce a provisional arrangement of the pixels of that spot. r₁ and r₂ are selected so that the two provisional arrangements consist of about the same number of pixels. Preferably, the pixels deleted to form the provisional arrangements are substantially uniformly distributed in each of the initial arrangements. Thus, about every $1/r_1$ -th pixel is removed from the initial sequence of pixels from the spot 315 and about every 1/r₂-th pixel is removed from the initial sequence of pixels from the spot 320. A transformation T' is then defined that maps the nth pixel in the provisional arrangement of the pixels of the spot 315 with the nth spot in the provisional arrangement of the spot 320.

Pairs of numbers are $(I(x), I(T'(x_i)))$ formed where $I(x_i)$ is in the intensity of a pixel x_i in the pattern 105 and $I(T'(x_i))$ is the intensity of the pixel $T'(x_i)$ in the pattern 115 that is in register with x under the transformation T'. Fig. 5 shows a plot of the points $(I(x_i),T'(I(x_i)))$. A linear regression analysis is applied to the points that produces the best linear fit 500 to the points. The slope of the linear fit 500 is multiplied by r_2/r_1 to compensate for the deletion of points from the two spot arrangements.

It will also be understood that the system according to the invention may be a suitably programmed computer. Likewise, the invention contemplates a computer program being readable by a computer for executing the method of the invention. The invention further contemplates a machine-readable memory tangibly

embodying a program of instructions executable by the machine for executing the method of the invention.

CLAIMS:

- 1. A method for comparing first and second signal arrays, the arrays being comprised of pixels, each pixel in an array having an intensity, the method comprising steps of:
 - (a) associating to each of a plurality of pixels x_i in the first array a pixel $T(x_i)$ in the second array, and
 - (b) applying a linear regression analysis to the ordered pairs of numbers $(x_i, T(x_i))$ so as to produce a slope.
- 2. The method according to Claim 1 wherein the first and second signal arrays are superimposed and $T(x_i)=x_i$.
 - 3. The method according to Claim 2 wherein the first and second signal arrays are obtained by incubating a DNA chip in the presence of first and second probe species, the first probe species producing a signal that is distinguishable from a signal produced by the second probe species.
- 4. The method according to Claim 2 wherein the first and second signal arrays are obtained by staining a spot in separation pattern with first and second labels, the first label producing a signal that is distinguishable from a signal produced by the second label.
- 5. The method according to Claim 1 wherein the first and second arrays are not superimposed.
 - 6. The method according to Claim 5 wherein the first and second signal arrays are spots in a first and second separation pattern, respectively.
 - 7. The method according to Claim 6 wherein the first and second separation patterns are in register, and for each pixel x_i in the first spot, $T(x_i)$ is the spot in the second separation pattern in register with x_i .
 - 8. The method according to any one of the previous claims for use in determining differential gene expression or differential protein expression.
 - 9. A method for determining differential gene expression of a gene comprising steps of:

- (a) obtaining digitized images of first and second signal arrays representing first and second expression levels of the gene, respectively, each pixel in an image having an intensity;
- (b) associating to each of a plurality of pixels x_i in the first image a pixel $T(x_i)$ in the second image, and
- (c) applying a linear regression analysis to the ordered pairs of numbers $(x_i, T(x_i))$ so as to produce a slope.
- 10. The method according to Claim 9 wherein the first and second signal arrays are superimposed and $T(x_i)=x_i$.

- 11. The method according to Claim 10 wherein the first and second signal arrays are obtained by incubating a DNA chip in the presence of first and second probe species, the first probe species producing a signal that is distinguishable from a signal produced by the second probe species.
 - 12. The method according to Claim 10 wherein the first and second signal arrays are obtained by staining a spot in separation pattern with first and second labels, the first label producing a signal that is distinguishable from a signal produced by the second label.
 - 13. A method for determining differential protein expression comprising steps of:
- obtaining digitized images of first and second signal arrays representing first and second expression levels of the protein, respectively, each pixel in an image having an intensity;
 - (b) associating to each of a plurality of pixels x_i in the first image a pixel $T(x_i)$ in the second image, and
- (c) applying a linear regression analysis to the ordered pairs of numbers $(x_i, T(x_i))$ so as to produce a slope.
 - 14. The method according to Claim 13 wherein the first and second arrays are not superimposed.
- 15. The method according to Claim 14 wherein the first and second signal arrays are spots in a first and second separation pattern, respectively.

- 16. The method according to Claim 15 wherein the first and second separation patterns are in register, and for each pixel x_i in the first spot, T(xi) is the spot in the second separation pattern in register with x_i .
- 17. A program storage device readable by machine, tangibly embodying a program of instructions executable by the machine to perform method steps for comparing digitized images of first and second signal arrays, the images being comprised of pixels, each pixel in an image having an intensity, the method comprising steps of:
 - (a) associating to each of a plurality of pixels x_i in the first image a pixel $T(x_i)$ in the second image, and

10

20

30

- (b) applying a linear regression analysis to the ordered pairs of numbers $(x_i, T(x_i))$ so as to produce a slope.
- 18. A computer program product comprising a computer useable medium having computer readable program code embodied therein for comparing digitized images of first and second signal arrays, the images being comprised of pixels, each pixel in an image having an intensity, the computer program product comprising:

computer readable program code for causing the computer to associate to each of a plurality of pixels x_i in the first image a pixel $T(x_i)$ in the second image, and

- computer readable program code for causing the computer to apply a linear regression analysis to the ordered pairs of numbers $(x_i, T(x_i))$ so as to produce a slope.
- 19. A program storage device readable by machine, tangibly embodying a program of instructions executable by the machine to perform method steps for determining differential gene expression of a gene comprising steps of:
 - (a) obtaining digitized images of first and second signal arrays representing first and second expression levels of the gene, respectively, each pixel in an image having an intensity;
 - (b) associating to each of a plurality of pixels x_i in the first image a pixel $T(x_i)$ in the second image, and

- (c) applying a linear regression analysis to the ordered pairs of numbers $(x_i, T(x_i))$ so as to produce a slope.
- 20. A computer program product comprising a computer useable medium having computer readable program code embodied therein for determining differential gene expression of a gene the computer program product comprising:

computer readable program code for causing the computer to obtain digitized images of first and second signal arrays representing first and second expression levels of the gene, respectively, each pixel in an image having an intensity;

computer readable program code for causing the computer to associate to each of a plurality of pixels x_i in the first image a pixel $T(x_i)$ in the second image, and

computer readable program code for causing the computer to apply a linear regression analysis to the ordered pairs of numbers $(x_i, T(x_i))$ so as to produce a slope.

- 21. A program storage device readable by machine, tangibly embodying a program of instructions executable by the machine to perform method steps for determining differential protein expression comprising steps of:
 - (a) obtaining digitized images of first and second signal arrays representing first and second expression levels of the protein, respectively, each pixel in an image having an intensity;
 - (b) associating to each of a plurality of pixels x_i in the first image a pixel $T(x_i)$ in the second image, and
 - (c) applying a linear regression analysis to the ordered pairs of numbers $(x_i, T(x_i))$ so as to produce a slope.
- 22. A computer program product comprising a computer useable medium having computer readable program code embodied therein for determining differential protein expression the computer program product comprising:

computer readable program code for causing the computer to obtain digitized images of first and second signal arrays representing first and second

10

20

expression levels of the protein, respectively, each pixel in an image having an intensity;

computer readable program code for causing the computer to associate to each of a plurality of pixels x_i in the first image a pixel $\mathbf{T}(x_i)$ in the second image, and

computer readable program code for causing the computer to apply a linear regression analysis to the ordered pairs of numbers $(x_i, T(x_i))$ so as to produce a slope.

For the Applicants, **REINHOLD COHN AND PARTNERS** By:

Ben Spungin

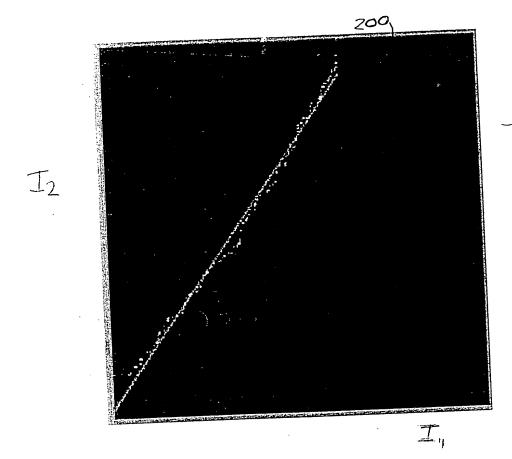


FIG. 1



FIG. 1A

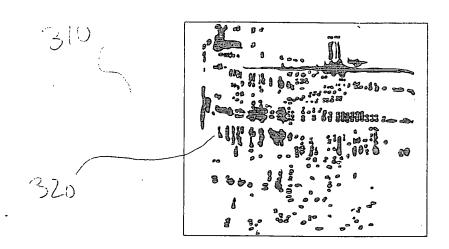
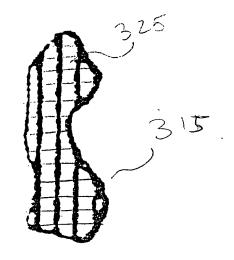


FIG. 1B

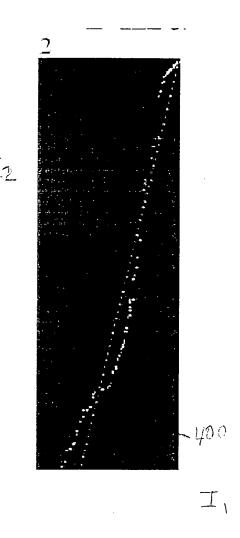
F/G , 2



320

F19.3

.



4 C. H

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

BLACK BORDERS

IMAGE CUT OFF AT TOP, BOTTOM OR SIDES

FADED TEXT OR DRAWING

BLURRED OR ILLEGIBLE TEXT OR DRAWING

SKEWED/SLANTED IMAGES

COLOR OR BLACK AND WHITE PHOTOGRAPHS

GRAY SCALE DOCUMENTS

LINES OR MARKS ON ORIGINAL DOCUMENT

REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

☐ OTHER: _____

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.